# 7.3 Volume: The Shell Method

- Find the volume of a solid of revolution using the shell method.
- Compare the uses of the disk method and the shell method.

# The Shell Method

In this section, you will study an alternative method for finding the volume of a solid of revolution. This method is called the **shell method** because it uses cylindrical shells. A comparison of the advantages of the disk and shell methods is given later in this section.

To begin, consider a representative rectangle as shown in Figure 7.27, where w is the width of the rectangle, h is the height of the rectangle, and p is the distance between the axis of revolution and the *center* of the rectangle. When this rectangle is revolved about its axis of revolution, it forms a cylindrical shell (or tube) of thickness w. To find the volume of this shell, consider two cylinders. The radius of the larger cylinder corresponds to the outer radius of the shell, and the radius of the smaller cylinder corresponds to the inner radius of the shell. Because p is the average radius of the shell, you know the outer radius is

$$p + \frac{w}{2}$$
 Outer radius

and the inner radius is

$$p = \frac{w}{2}$$
. Inner radius

So, the volume of the shell is

Volume of shell = (volume of cylinder) - (volume of hole)

$$= \pi \left( p + \frac{w}{2} \right)^2 h - \pi \left( p - \frac{w}{2} \right)^2 h$$

 $=2\pi phw$ 

=  $2\pi$ (average radius)(height)(thickness).

You can use this formula to find the volume of a solid of revolution. For instance, the plane region in Figure 7.28 is revolved about a line to form the indicated solid. Consider a horizontal rectangle of width  $\Delta y$ . As the plane region is revolved about a line parallel to the *x*-axis, the rectangle generates a representative shell whose volume is

$$\Delta V = 2\pi [p(y)h(y)] \Delta y.$$

You can approximate the volume of the solid by *n* such shells of thickness  $\Delta y$ , height  $h(y_i)$ , and average radius  $p(y_i)$ .

Volume of solid 
$$\approx \sum_{i=1}^{n} 2\pi [p(y_i)h(y_i)] \Delta y = 2\pi \sum_{i=1}^{n} [p(y_i)h(y_i)] \Delta y$$

This approximation appears to become better and better as  $\|\Delta\| \to 0 \ (n \to \infty)$ . So, the volume of the solid is

Volume of solid = 
$$\lim_{\|\Delta\|\to 0} 2\pi \sum_{i=1}^{n} [p(y_i)h(y_i)] \Delta y$$
  
=  $2\pi \int_{a}^{d} [p(y)h(y)] dy.$ 

h(y)

a

p(y)



Plane region

Figure 7.28







#### THE SHELL METHOD

To find the volume of a solid of revolution with the **shell method**, use one of the formulas below. (See Figure 7.29.)



#### **EXAMPLE 1** Using the Shell Method to Find Volume

Find the volume of the solid of revolution formed by revolving the region bounded by

Integrate.

$$y = x - x^3$$

and the x-axis  $(0 \le x \le 1)$  about the y-axis.

**Solution** Because the axis of revolution is vertical, use a vertical representative rectangle, as shown in Figure 7.30. The width  $\Delta x$  indicates that *x* is the variable of integration. The distance from the center of the rectangle to the axis of revolution is p(x) = x, and the height of the rectangle is

$$h(x) = x - x^3.$$

Because x ranges from 0 to 1, apply the shell method to find the volume of the solid.

$$V = 2\pi \int_{a}^{b} p(x)h(x) dx$$
  
=  $2\pi \int_{0}^{1} x(x - x^{3}) dx$   
=  $2\pi \int_{0}^{1} (-x^{4} + x^{2}) dx$  Simplify.  
=  $2\pi \left[ -\frac{x^{5}}{5} + \frac{x^{3}}{3} \right]_{0}^{1}$  Integrate.  
=  $2\pi \left( -\frac{1}{5} + \frac{1}{3} \right)$   
=  $\frac{4\pi}{15}$ 





# **EXAMPLE 2**

## Using the Shell Method to Find Volume

Find the volume of the solid of revolution formed by revolving the region bounded by the graph of

 $x = e^{-y^2}$ 

and the y-axis  $(0 \le y \le 1)$  about the x-axis.

**Solution** Because the axis of revolution is horizontal, use a horizontal representative rectangle, as shown in Figure 7.31. The width  $\Delta y$  indicates that y is the variable of integration. The distance from the center of the rectangle to the axis of revolution is p(y) = y, and the height of the rectangle is  $h(y) = e^{-y^2}$ . Because y ranges from 0 to 1, the volume of the solid is







J



### **Exploration**

 $V = \pi \int_{a}^{b} (R^2 - r^2)' dx$ 

 $\Delta x$ 

h

To see the advantage of using the shell method in Example 2, solve the equation  $x = e^{-y^2}$  for y.

 $y = \begin{cases} 1, & 0 \le x \le 1/e \\ \sqrt{-\ln x}, & 1/e < x \le 1 \end{cases}$ 

Then use this equation to find the volume using the disk method.

# **Comparison of Disk and Shell Methods**

The disk and shell methods can be distinguished as follows. For the disk method, the representative rectangle is always *perpendicular* to the axis of revolution, whereas for the shell method, the representative rectangle is always parallel to the axis of revolution, as shown in Figure 7.32.



Vertical axis of revolution

Disk method: Representative rectangle is perpendicular to the axis of revolution.

R

а

Horizontal axis of revolution





 $V = 2\pi \int_{c}^{d} ph(dy)$ 

Vertical axis of revolution

Horizontal axis of revolution

Shell method: Representative rectangle is parallel to the axis of revolution.

Figure 7.32

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 $\Delta 1$ 

Often, one method is more convenient to use than the other. The next example illustrates a case in which the shell method is preferable.

### **EXAMPLE 3** Shell Method Preferable

•••• See LarsonCalculus.com for an interactive version of this type of example.

Find the volume of the solid formed by revolving the region bounded by the graphs of

 $y = x^2 + 1$ , y = 0, x = 0, and x = 1

about the *y*-axis.

**Solution** In Example 4 in Section 7.2, you saw that the washer method requires two integrals to determine the volume of this solid. See Figure 7.33(a).



(a) Disk method

For  $1 \le y \le 2$ :

For  $0 \le v \le 1$ :

R = 1

R = 1r = 0



Axis of revolution



In Figure 7.33(b), you can see that the shell method requires only one integral to find the volume.



Consider the solid formed by revolving the region in Example 3 about the vertical line x = 1. Would the resulting solid of revolution have a greater volume or a smaller volume than the solid in Example 3? Without integrating, you should be able to reason that the resulting solid would have a smaller volume because "more" of the revolved region would be closer to the axis of revolution. To confirm this, try solving the integral

$$V = 2\pi \int_0^1 (1-x)(x^2+1) \, dx \qquad \qquad p(x) = 1 - x$$

which gives the volume of the solid.

**FOR FURTHER INFORMATION** To learn more about the disk and shell methods, see the article "The Disk and Shell Method" by Charles A. Cable in *The American Mathematical Monthly*. To view this article, go to *MathArticles.com*.



Figure 7.34



Figure 7.35

# **EXAMPLE 4**

#### Volume of a Pontoon

A pontoon is to be made in the shape shown in Figure 7.34. The pontoon is designed by rotating the graph of

$$y = 1 - \frac{x^2}{16}, \quad -4 \le x \le 4$$

about the x-axis, where x and y are measured in feet. Find the volume of the pontoon.

**Solution** Refer to Figure 7.35 and use the disk method as shown.



To use the shell method in Example 4, you would have to solve for x in terms of y in the equation

$$y = 1 - \frac{x^2}{16}$$

and then evaluate an integral that requires a *u*-substitution.

Sometimes, solving for x is very difficult (or even impossible). In such cases, you must use a vertical rectangle (of width  $\Delta x$ ), thus making x the variable of integration. The position (horizontal or vertical) of the axis of revolution then determines the method to be used. This is shown in Example 5.

### EXAMPLE 5 Shell Method Necessary

Find the volume of the solid formed by revolving the region bounded by the graphs of  $y = x^3 + x + 1$ , y = 1, and x = 1 about the line x = 2, as shown in Figure 7.36.

**Solution** In the equation  $y = x^3 + x + 1$ , you cannot easily solve for x in terms of y. (See the discussion at the end of Section 3.8.) Therefore, the variable of integration must be x, and you should choose a vertical representative rectangle. Because the rectangle is parallel to the axis of revolution, use the shell method.





Figure 7.36

# 7.3 Exercises

See CalcChat.com for tutorial help and worked-out solutions to odd-numbered exercises.

**Finding the Volume of a Solid** In Exercises 1–14, use the shell method to set up and evaluate the integral that gives the volume of the solid generated by revolving the plane region about the *y*-axis.



**Finding the Volume of a Solid** In Exercises 15–22, use the shell method to set up and evaluate the integral that gives the volume of the solid generated by revolving the plane region about the *x*-axis.





**Finding the Volume of a Solid** In Exercises 23–26, use the shell method to find the volume of the solid generated by revolving the plane region about the given line.

**23.**  $y = 2x - x^2$ , y = 0, about the line x = 4 **24.**  $y = \sqrt{x}$ , y = 0, x = 4, about the line x = 6 **25.**  $y = x^2$ ,  $y = 4x - x^2$ , about the line x = 4**26.**  $y = \frac{1}{3}x^3$ ,  $y = 6x - x^2$ , about the line x = 3

**Choosing a Method** In Exercises 27 and 28, decide whether it is more convenient to use the disk method or the shell method to find the volume of the solid of revolution. Explain your reasoning. (Do not find the volume.)



**Choosing a Method** In Exercises 29–32, use the disk method *or* the shell method to find the volumes of the solids generated by revolving the region bounded by the graphs of the equations about the given lines.

**29.** 
$$y = x^3$$
,  $y = 0$ ,  $x = 2$   
(a) the *x*-axis (b) the *y*-axis (c) the line  $x = 4$   
**30.**  $y = \frac{10}{x^2}$ ,  $y = 0$ ,  $x = 1$ ,  $x = 5$   
(a) the *x*-axis (b) the *y*-axis (c) the line  $y = 10$ 

31. x<sup>1/2</sup> + y<sup>1/2</sup> = a<sup>1/2</sup>, x = 0, y = 0
(a) the x-axis
(b) the y-axis
(c) the line x = a
32. x<sup>2/3</sup> + y<sup>2/3</sup> = a<sup>2/3</sup>, a > 0 (hypocycloid)
(a) the x-axis
(b) the y-axis

Finding the Volume of a Solid In Exercises 33–36, (a) use a graphing utility to graph the plane region bounded by the graphs of the equations, and (b) use the integration capabilities of the graphing utility to approximate the volume of the solid generated by revolving the region about the *y*-axis.

**33.** 
$$x^{4/3} + y^{4/3} = 1$$
,  $x = 0$ ,  $y = 0$ , first quadrant  
**34.**  $y = \sqrt{1 - x^3}$ ,  $y = 0$ ,  $x = 0$   
**35.**  $y = \sqrt[3]{(x - 2)^2(x - 6)^2}$ ,  $y = 0$ ,  $x = 2$ ,  $x = 6$   
**36.**  $y = \frac{2}{1 + e^{1/x}}$ ,  $y = 0$ ,  $x = 1$ ,  $x = 3$ 

#### WRITING ABOUT CONCEPTS

- **37. Representative Rectangles** Consider a solid that is generated by revolving a plane region about the *y*-axis. Describe the position of a representative rectangle when using (a) the shell method and (b) the disk method to find the volume of the solid.
- **38. Describing Cylindrical Shells** Consider the plane region bounded by the graphs of

y = k, y = 0, x = 0, and x = b

where k > 0 and b > 0. What are the heights and radii of the cylinders generated when this region is revolved about (a) the *x*-axis and (b) the *y*-axis?

**Comparing Integrals** In Exercises 39 and 40, give a geometric argument that explains why the integrals have equal values.

**39.** 
$$\pi \int_{1}^{5} (x-1) dx = 2\pi \int_{0}^{2} y[5 - (y^{2} + 1)] dy$$
  
**40.**  $\pi \int_{0}^{2} [16 - (2y)^{2}] dy = 2\pi \int_{0}^{4} x(\frac{x}{2}) dx$ 

**41. Comparing Volumes** The region in the figure is revolved about the indicated axes and line. Order the volumes of the resulting solids from least to greatest. Explain your reasoning.

(a) x-axis (b) y-axis (c) 
$$x = 4$$



- (a) Describe the figure generated by revolving segment *AB* about the *y*-axis.
- (b) Describe the figure generated by revolving segment BC about the y-axis.
- (c) Assume the curve in the figure can be described as y = f(x) or x = g(y). A solid is generated by revolving the region bounded by the curve, y = 0, and x = 0 about the *y*-axis. Set up integrals to find the volume of this solid using the disk method and the shell method. (Do not integrate.)

Analyzing an Integral In Exercises 43–46, the integral represents the volume of a solid of revolution. Identify (a) the plane region that is revolved and (b) the axis of revolution.

**43.** 
$$2\pi \int_0^2 x^3 dx$$
  
**44.**  $2\pi \int_0^1 y - y^{3/2} dy$   
**45.**  $2\pi \int_0^6 (y+2)\sqrt{6-y} dy$   
**46.**  $2\pi \int_0^1 (4-x)e^x dx$ 

- **47.** Machine Part A solid is generated by revolving the region bounded by  $y = \frac{1}{2}x^2$  and y = 2 about the *y*-axis. A hole, centered along the axis of revolution, is drilled through this solid so that one-fourth of the volume is removed. Find the diameter of the hole.
- **48.** Machine Part A solid is generated by revolving the region bounded by  $y = \sqrt{9 x^2}$  and y = 0 about the *y*-axis. A hole, centered along the axis of revolution, is drilled through this solid so that one-third of the volume is removed. Find the diameter of the hole.
- **49.** Volume of a Torus A torus is formed by revolving the region bounded by the circle  $x^2 + y^2 = 1$  about the line x = 2 (see figure). Find the volume of this "doughnut-shaped" solid. (*Hint:* The integral  $\int_{-1}^{1} \sqrt{1 x^2} dx$  represents the area of a semicircle.)



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- 50. Volume of a Torus Repeat Exercise 49 for a torus formed by revolving the region bounded by the circle  $x^2 + y^2 = r^2$ about the line x = R, where r < R.
- 51. Finding Volumes of Solids
  - (a) Use differentiation to verify that

$$\int x \sin x \, dx = \sin x - x \cos x + C.$$

(b) Use the result of part (a) to find the volume of the solid generated by revolving each plane region about the y-axis.



#### 52. Finding Volumes of Solids

(a) Use differentiation to verify that

$$\int x \cos x \, dx = \cos x + x \sin x + C.$$

(b) Use the result of part (a) to find the volume of the solid generated by revolving each plane region about the y-axis. (*Hint:* Begin by approximating the points of intersection.)



53. Volume of a Segment of a Sphere Let a sphere of radius r be cut by a plane, thereby forming a segment of height *h*. Show that the volume of this segment is

$$\frac{1}{3}\pi h^2(3r-h).$$

54. Volume of an Ellipsoid Consider the plane region bounded by the graph of

$$\left(\frac{x}{a}\right)^2 + \left(\frac{y}{b}\right)^2 = 1$$

where a > 0 and b > 0. Show that the volume of the ellipsoid formed when this region is revolved about the y-axis is

$$\frac{4}{3}\pi a^2b.$$

What is the volume when the region is revolved about the x-axis?

55. Exploration Consider the region bounded by the graphs of  $y = ax^n$ ,  $y = ab^n$ , and x = 0 (see figure).



- (a) Find the ratio  $R_1(n)$  of the area of the region to the area of the circumscribed rectangle.
- (b) Find  $\lim_{n\to\infty} R_1(n)$  and compare the result with the area of the circumscribed rectangle.
- (c) Find the volume of the solid of revolution formed by revolving the region about the y-axis. Find the ratio  $R_2(n)$ of this volume to the volume of the circumscribed right circular cylinder.
- (d) Find  $\lim_{n \to \infty} R_2(n)$  and compare the result with the volume of the circumscribed cylinder.
- (e) Use the results of parts (b) and (d) to make a conjecture about the shape of the graph of  $y = ax^n$  ( $0 \le x \le b$ ) as  $n \rightarrow \infty$
- 56. Think About It Match each integral with the solid whose volume it represents, and give the dimensions of each solid.

() **D**' 1 ( ' 1

(a) Right circular cone (b) Torus (c) Sphere  
(d) Right circular cylinder (e) Ellipsoid  
(i) 
$$2\pi \int_0^r hx \, dx$$
 (ii)  $2\pi \int_0^r hx \left(1 - \frac{x}{r}\right) dx$   
(iii)  $2\pi \int_0^r 2x \sqrt{r^2 - x^2} \, dx$  (iv)  $2\pi \int_0^b 2ax \sqrt{1 - \frac{x^2}{b^2}} \, dx$   
(v)  $2\pi \int_{-r}^r (R - x) \left(2\sqrt{r^2 - x^2}\right) dx$ 

57. Volume of a Storage Shed A storage shed has a circular base of diameter 80 feet. Starting at the center, the interior height is measured every 10 feet and recorded in the table (see figure).



- (a) Use Simpson's Rule to approximate the volume of the shed.
- (b) Note that the roof line consists of two line segments. Find the equations of the line segments and use integration to find the volume of the shed.

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**58.** Modeling Data A pond is approximately circular, with a diameter of 400 feet. Starting at the center, the depth of the water is measured every 25 feet and recorded in the table (see figure).



- (a) Use Simpson's Rule to approximate the volume of water in the pond.
- (b) Use the regression capabilities of a graphing utility to find a quadratic model for the depths recorded in the table. Use the graphing utility to plot the depths and graph the model.
- (c) Use the integration capabilities of a graphing utility and the model in part (b) to approximate the volume of water in the pond.
- (d) Use the result of part (c) to approximate the number of gallons of water in the pond. (*Hint:* 1 cubic foot of water is approximately 7.48 gallons.)
- **59. Equal Volumes** Let  $V_1$  and  $V_2$  be the volumes of the solids that result when the plane region bounded by y = 1/x, y = 0,  $x = \frac{1}{4}$ , and x = c (where  $c > \frac{1}{4}$ ) is revolved about the *x*-axis and the *y*-axis, respectively. Find the value of *c* for which  $V_1 = V_2$ .
- **60.** Volume of a Segment of a Paraboloid The region bounded by  $y = r^2 x^2$ , y = 0, and x = 0 is revolved about the *y*-axis to form a paraboloid. A hole, centered along the axis of revolution, is drilled through this solid. The hole has a radius k, 0 < k < r. Find the volume of the resulting ring (a) by integrating with respect to *x* and (b) by integrating with respect to *y*.
- **61. Finding Volumes of Solids** Consider the graph of  $y^2 = x(4 x)^2$  (see figure). Find the volumes of the solids that are generated when the loop of this graph is revolved about (a) the *x*-axis, (b) the *y*-axis, and (c) the line x = 4.



# **SECTION PROJECT**

### Saturn



**The Oblateness of Saturn** Saturn is the most oblate of the planets in our solar system. Its equatorial radius is 60,268 kilometers and its polar radius is 54,364 kilometers. The color-enhanced photograph of Saturn was taken by Voyager 1. In the photograph, the oblateness of Saturn is clearly visible.

- (a) Find the ratio of the volumes of the sphere and the oblate ellipsoid shown below.
- (b) If a planet were spherical and had the same volume as Saturn, what would its radius be?

Computer model of "spherical Saturn," whose equatorial radius is equal to its polar radius. The equation of the cross section passing through the pole is

$$x^2 + y^2 = 60,268^2$$
.



Computer model of "oblate Saturn," whose equatorial radius is greater than its polar radius. The equation of the cross section passing through the pole is

$$\frac{x^2}{60,268^2} + \frac{y^2}{54,364^2} = 1.$$

NASA



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